



# A Deep Ocean Anti-Neutrino Observatory

An Introduction to the Science Potential of  
Hanohano

*A First Step for Long Range Anti-Neutrino Monitoring  
Of Reactors and Weapons from the Deep Ocean*

Presented by  
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*University of Hawaii at Manoa*

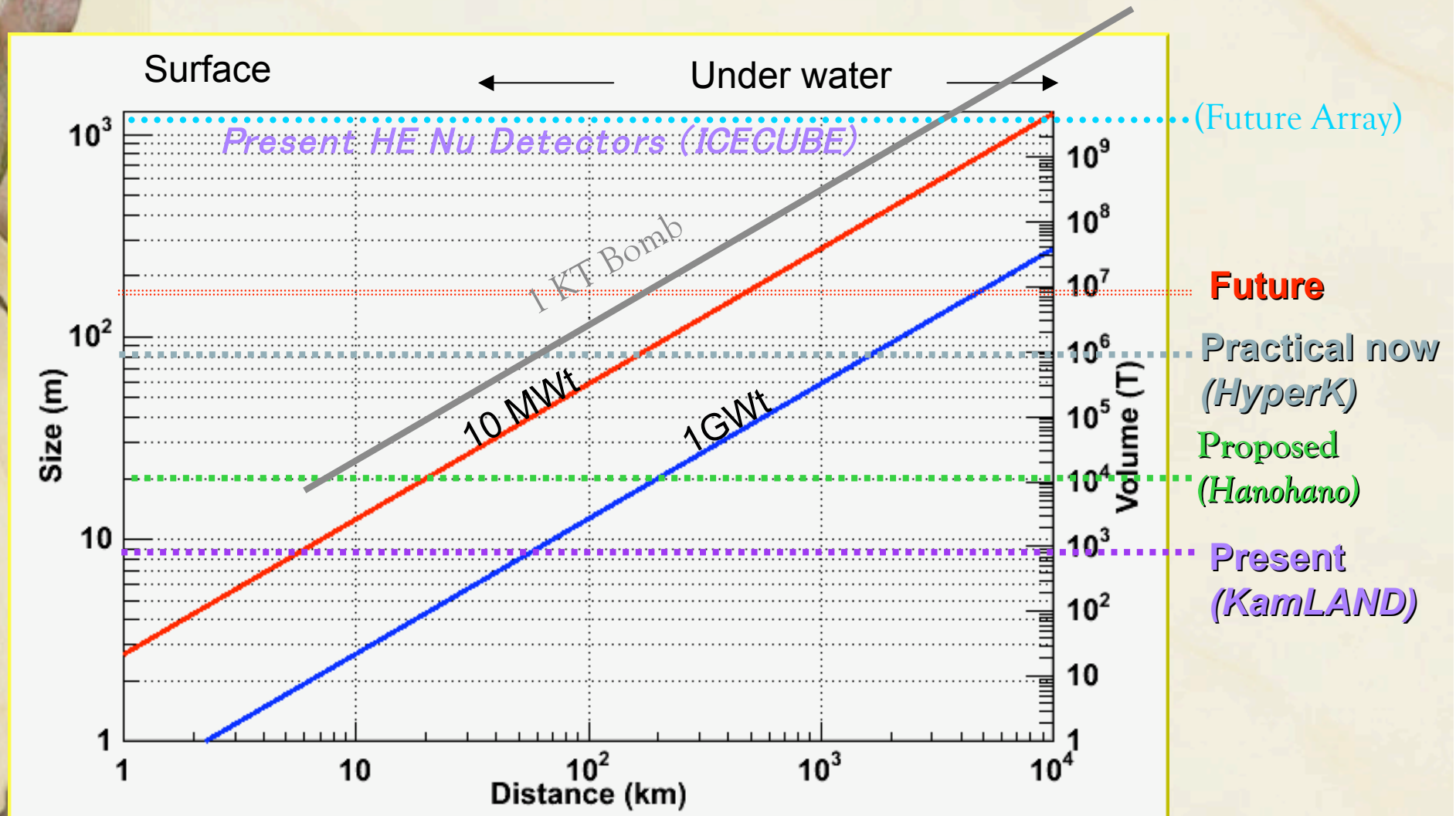


# Hanohano Origins

- Started as an exercise in '03 investigating future potential for world reactor and weapons testing monitoring (see Guillian report), inspired by DTRA inquiry.
- Workshop in 1/04 concluded that such will be possible, with giant detectors, and technology just being developed.
  - <http://www.phys.hawaii.edu/~jgl/nacw.html>
- Plan is to get experience with remote monitoring with a detector that can be built today.
- Have identified at geology & physics workshops in '05 UH and '06 AGU Baltimore, NOW06 Italy, NNN06 Seattle, great science which a 10 kiloton deep ocean, portable, detector can accomplish.
  - UH 12/05 <http://www.phys.hawaii.edu/~sdye/hnsc.html>
  - AGU 5/06 [http://www.agu.org/meetings/sm06/sm06sessions/sm06\\_U41F.html](http://www.agu.org/meetings/sm06/sm06sessions/sm06_U41F.html)
  - Italy 9/06 <http://www.ba.infn.it/~now2006/>
  - Seattle 9/06 <http://neutrino.phys.washington.edu/nnn06/>

# Nuclear Monitoring Requires Enormous Detectors

Single detector, LE = Low Energy  $\sim > \text{MeV}$ , HE = High Energy  $\sim > \text{GeV}$

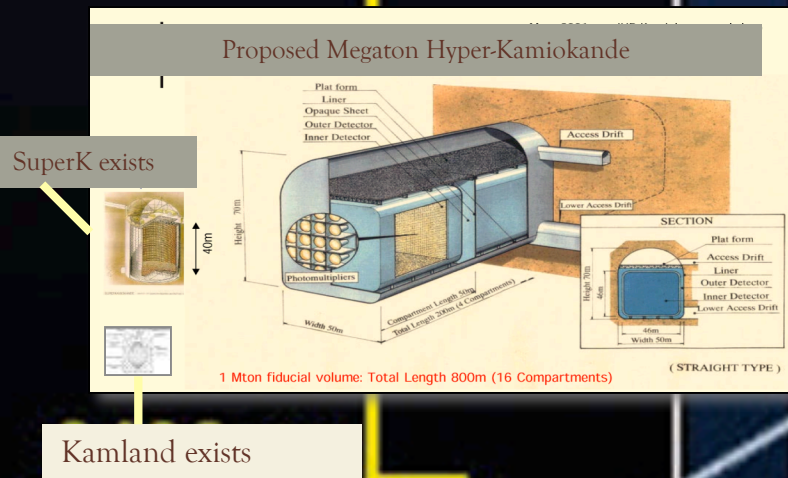


Size for 25% measurement of reactor flux, 1 yr, no background.

1-10 Megaton  
Module Not  
Outlandish

IceCube

1 km<sup>3</sup> under  
construction



1-10 Megaton units similar to sizes proposed for slightly higher energy,  
and much smaller than ICECUBE under construction.



# Spinoff, Planetary Defense: Type II Supernova Early Warning

**Silicon burning during  
last ~2 days prior to  
collapse detectable from  
whole galaxy!**  
**Sudden increase in  
single neutron  
appearance**

| Burning | $T_c$ | $\rho_c$         | $\mu_e$ | $L_\nu$             | Duration | Total energy        |
|---------|-------|------------------|---------|---------------------|----------|---------------------|
| Phase   | [MeV] | [g/cc]           | [MeV]   | [erg/s]             | $\tau$   | emitted [erg]       |
| C       | 0.07  | $2.7 \cdot 10^5$ | 0.0     | $7.4 \cdot 10^{39}$ | 300 yrs  | $7 \cdot 10^{49}$   |
| Ne      | 0.146 | $4.0 \cdot 10^6$ | 0.20    | $1.2 \cdot 10^{43}$ | 140 days | $1.4 \cdot 10^{50}$ |
| O       | 0.181 | $6.0 \cdot 10^6$ | 0.24    | $7.4 \cdot 10^{43}$ | 180 days | $1.2 \cdot 10^{51}$ |
| Si      | 0.319 | $4.9 \cdot 10^7$ | 0.84    | $3.1 \cdot 10^{45}$ | 2 days   | $5.4 \cdot 10^{50}$ |

Table 2

Properties of a  $20 M_\odot$  star according to Ref. [6]. We have calculated the total energy radiated in neutrinos as a product  $\tau L_\nu$ . Actually, the neutrino emission is expected to be a function of time.

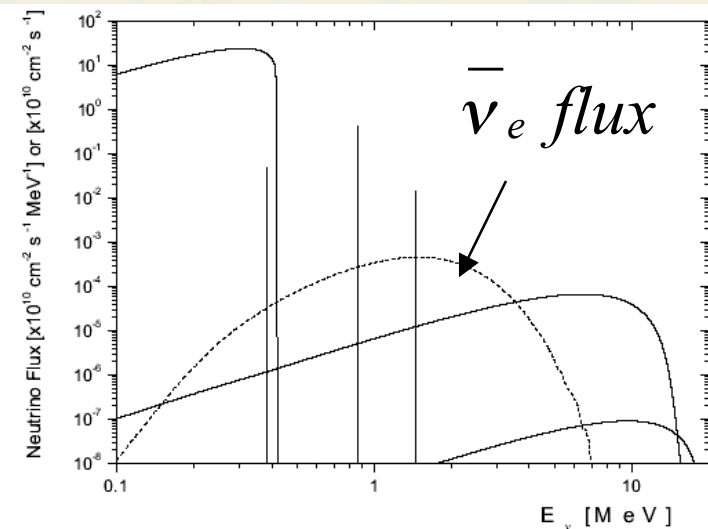


Fig. 2. The standard solar neutrino spectrum (BP2000, [5]) for pp fusion reactions in the Sun (solid lines) and the spectrum of pair-annihilation neutrinos emitted by a  $20 M_\odot$  star during silicon burning stage (dashed line). Star is located at a distance of 1 kpc.

*Odrzywolek, et al., astro-ph/0311012*

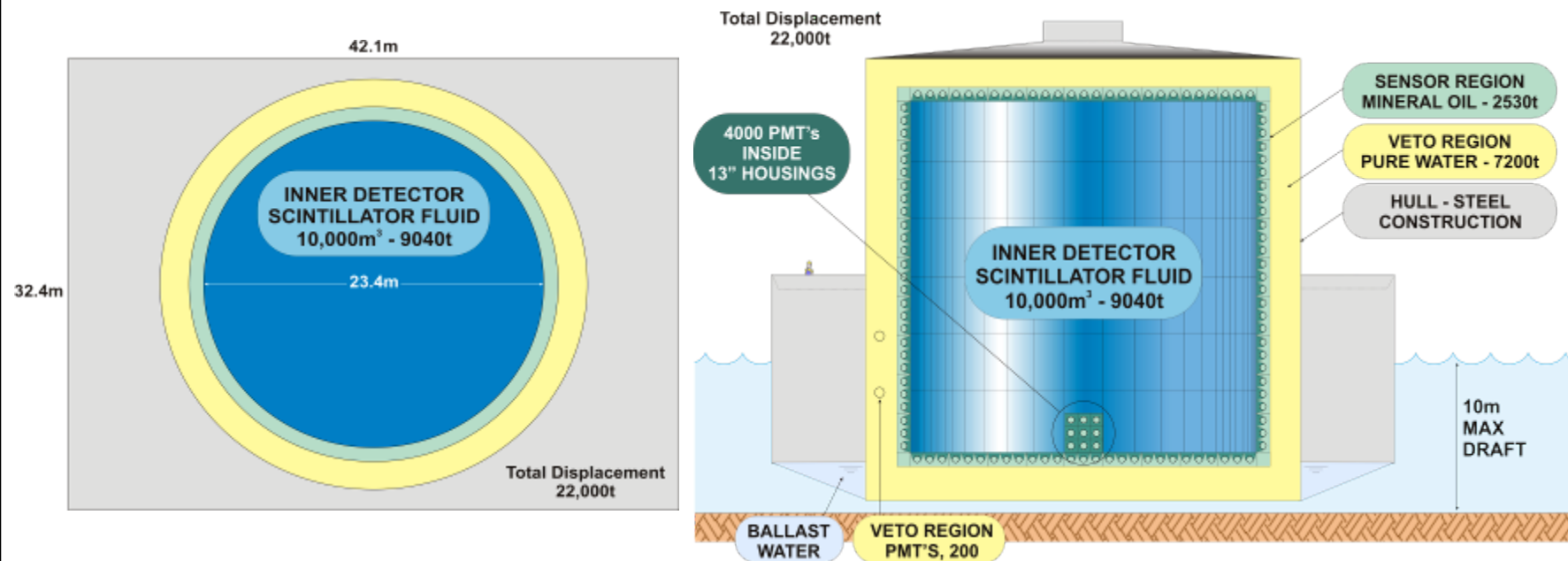


# Hanohano Science Outline

- Introduction to project
- Neutrino Geophysics
  - U/Th mantle flux
  - Th/U ratio
  - Geo-reactor search
- Neutrino Oscillation Physics (*new*)
  - Mixing angles  $\theta_{12}$  and  $\theta_{13}$
  - Mass squared difference  $\Delta m^2_{31}$
  - Mass hierarchy
- Other Physics, Long range, Conclusions

# Hanohano - 10x “KamLAND” in Ocean

Construct in shipyard, fill/test in port, tow to site, and submerge to ~4 km

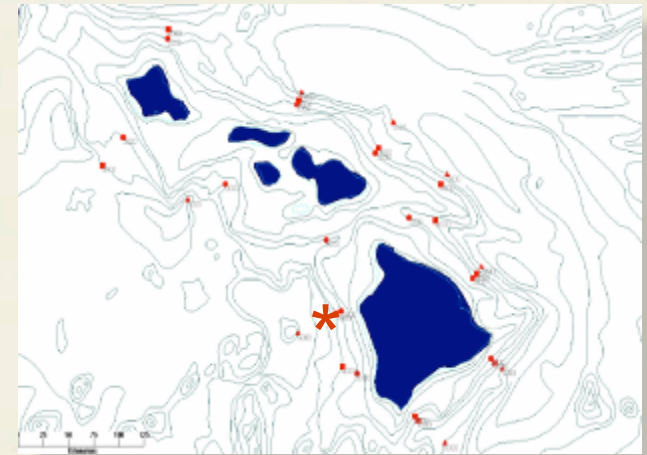


# Hawaii Anti-Neutrino Observatory†

## Location flexibility

- Tow to various locations, cable connect
- Far from continental crust and reactors for neutrino geophysics- Hawaii, South Pacific, ...
- Offshore of reactor for neutrino oscillation physics- California, Taiwan, ...

† *hanohano*- Hawaiian for distinguished



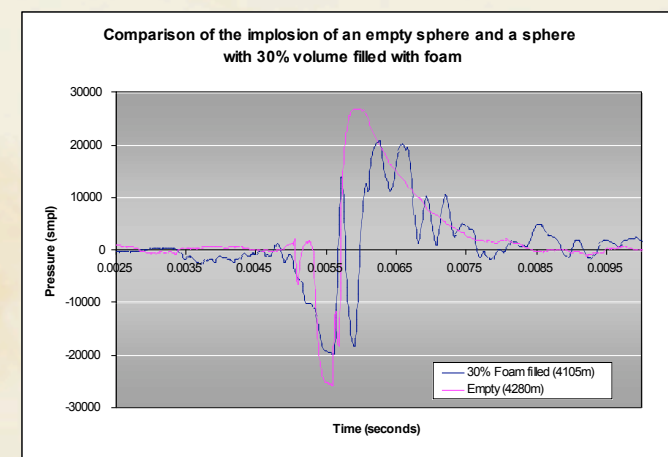
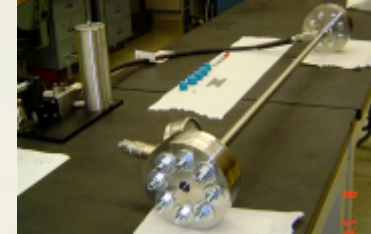
Site survey done





# Technological issues being addressed

- Radiopurity technology exists
- Scintillating oil studies:  
 $P=450 \text{ atm.}$ ,  $T=0^\circ$
- Several choices available,  
safe, industrial
- Implosion studies at sea
- Engineering studies of  
detector structure,  
deployment

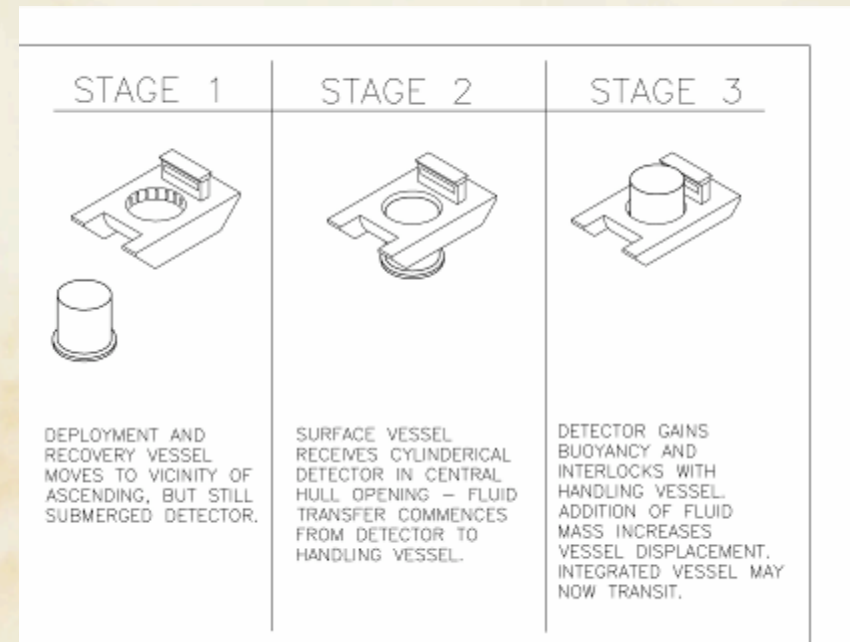


# Actual Accomplishments: Structure

- Goal: workable vehicle at standard ship costs.
- Analyzed stability, weight, and structural strength vs scintillator volume, 5-120kT.
  - harbor, loading, testing, towing, submergence, landing, lift off, resurface, recovery.
- Single vehicle has problems with stability and weight, particularly larger sizes – Al is costly option.
- Shape is cylindrical, cube not constructable, 30m dia.
- Dual barge and detector module has much less weight and stability restrictions. Can build very large.
- 10kt Scintillator is nominal 22kT detector, lose  $\sim 0.9$ kT buoyancy on bottom. Ascent 46 min.
- Structure: \$22m - OK



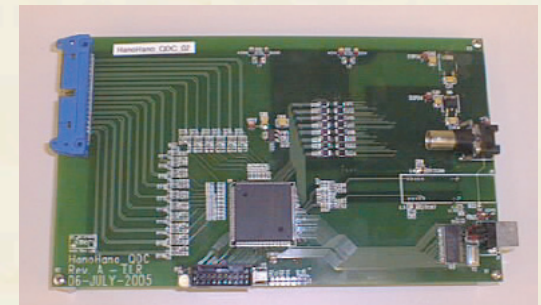
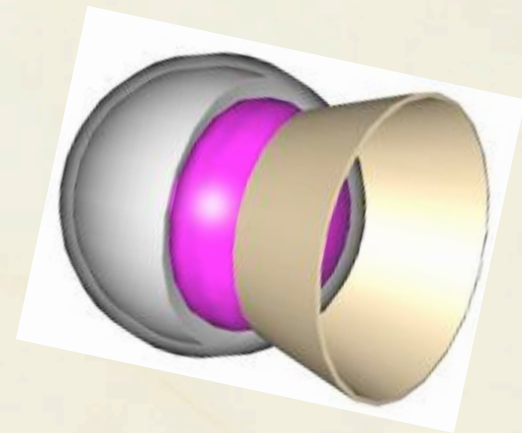
1/50 scale model test



# Actual Accomplishments: Photomultiplier Electronics

Completed electronics prototypes:

- PMT voltage supply manufacturers surveyed, sample devices in hand, several choices available (used in South Pole and Mediterranean experiments).
- PMT signal electronics prototypes constructed and tested at UH electronics facility, ready for second round for ocean tests.
- Signal digitization electronics prototypes constructed and tested at UH, ready for second round.
- No stoppers, power is as expected, need further refinement, reliability testing, etc. Adequate for proposal stage with predictable costing at this time.







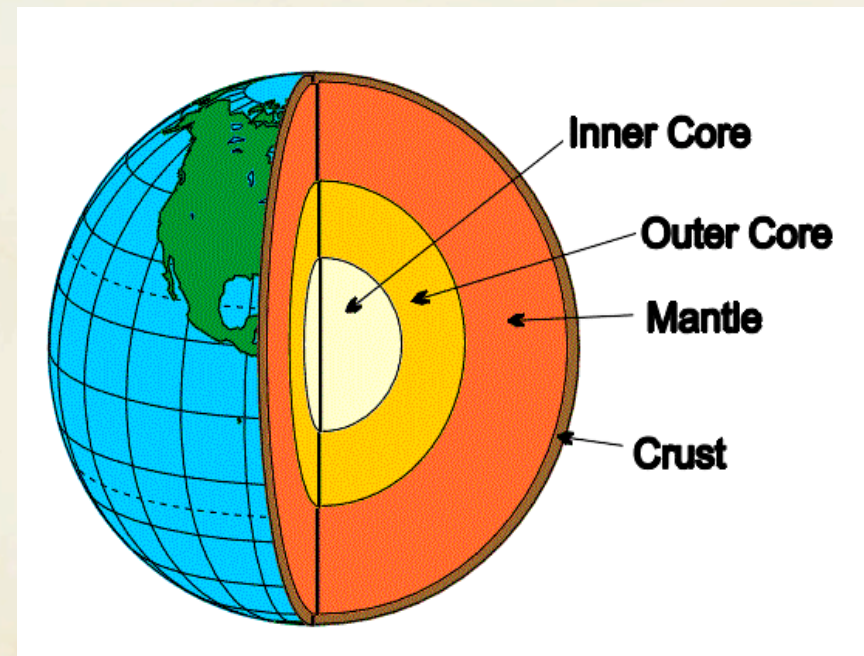
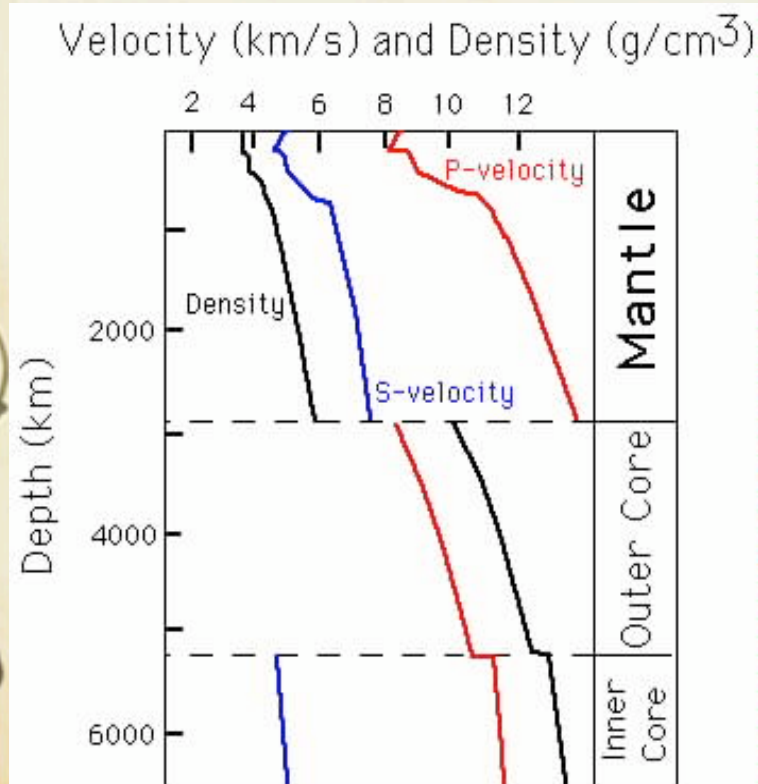
# Geology: Big Questions

- What drives continental drift, mid-ocean seafloor spreading?
  - What produces and sustains the geomagnetic field?
  - How did the earth form?
  - Of what is the deep earth composed?
- This experiment addresses all these.



# Preliminary Reference Earth Model

*Knowledge of Earth interior from seismology*



Dziewonski and Anderson, *Physics of the Earth and Planetary Interiors* **25** (1981) 297-356.

Measure velocity, use eq'n of state to infer density, guess composition.

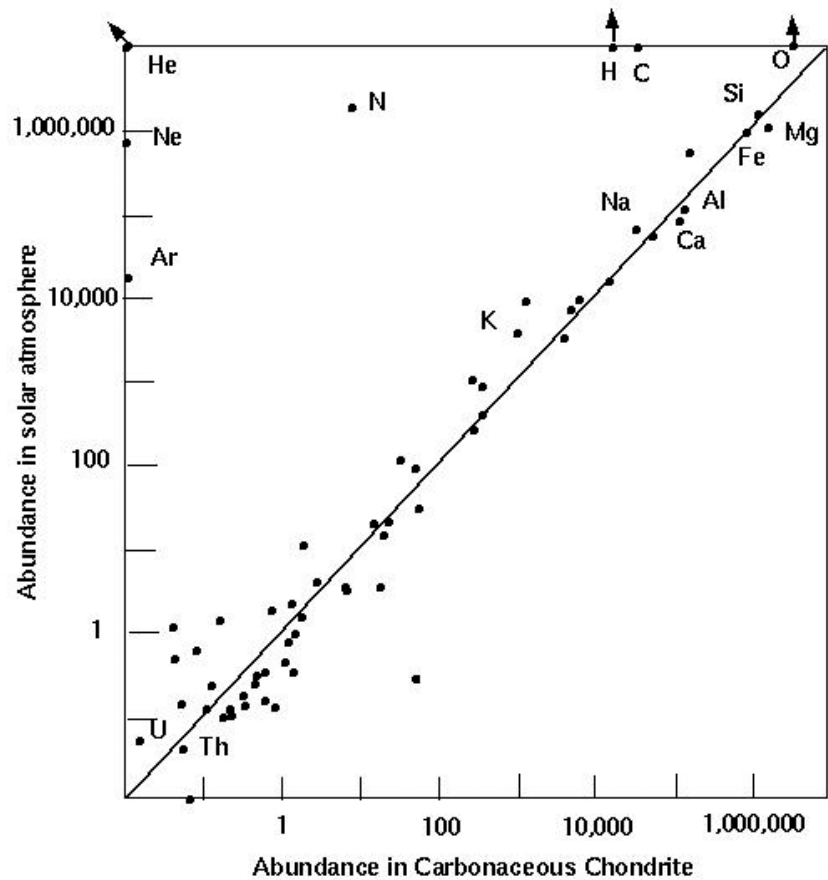
# Bulk Silicate Earth model

geologists “standard model”

Knowledge of Earth  
composition  
largely model  
dependent



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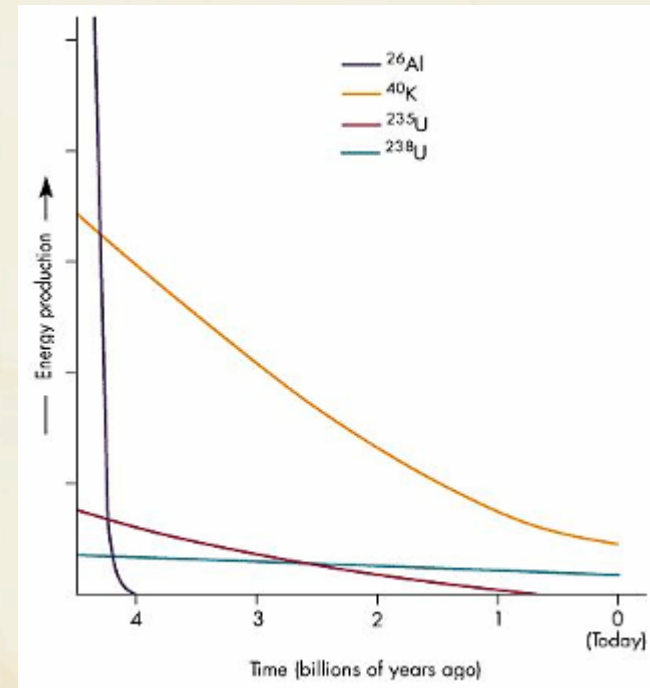
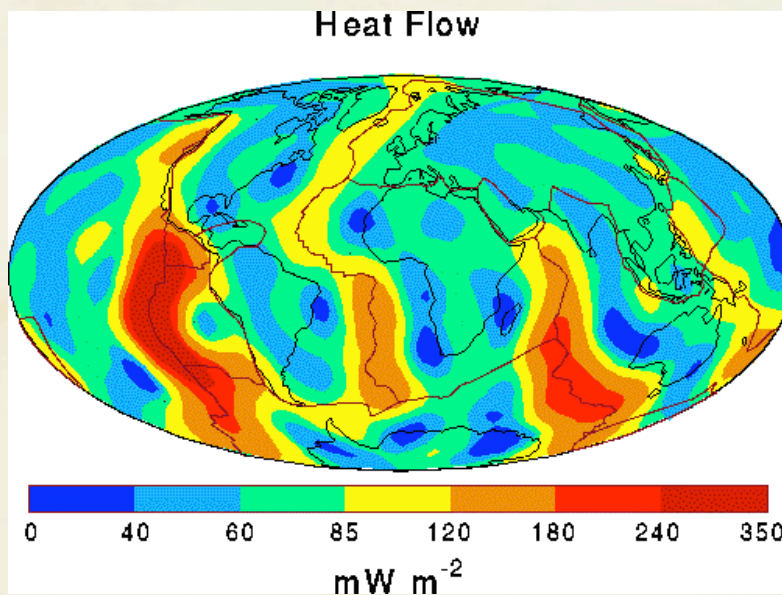
McDonough and Sun, *Chemical Geology* **120** (1995) 223-253.

Mostly composition from three meteorites.

# Terrestrial Heat Flow: 31-44 TW

Varies greatly, ocean spreading zones a problem

Time dependence a problem for geomagnetism



Pollack, Hurter, and Johnson, *Reviews of Geophysics* **31**(3) (1993) 267-280.

Hofmeister and Criss, *Tectonophysics* **395** (2005) 159-177.



Number of anti-neutrinos per MeV per parent

10<sup>1</sup>

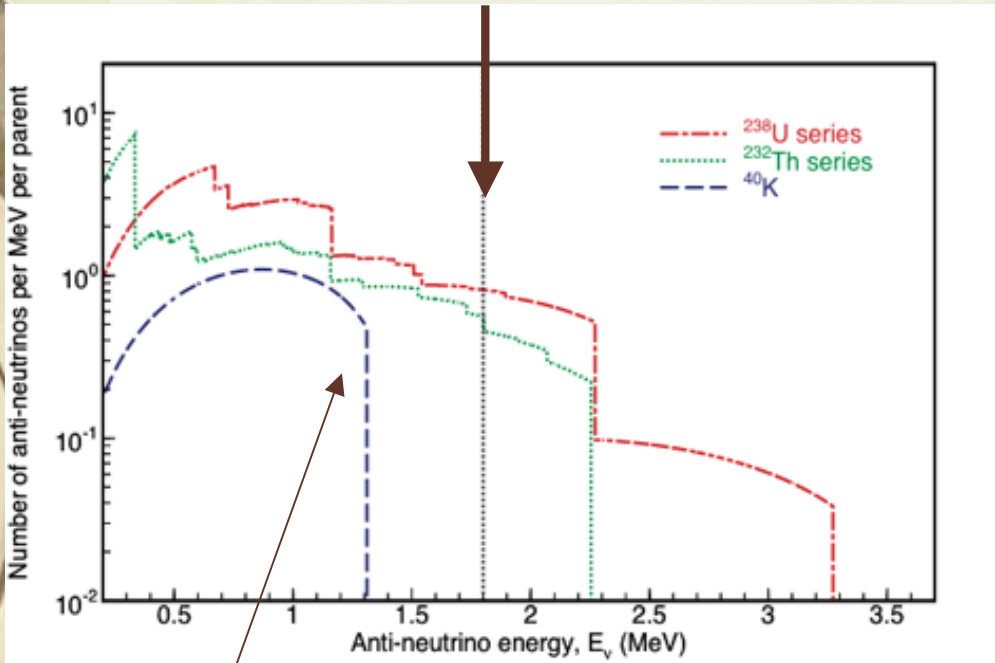
10<sup>0</sup>

10<sup>-1</sup>

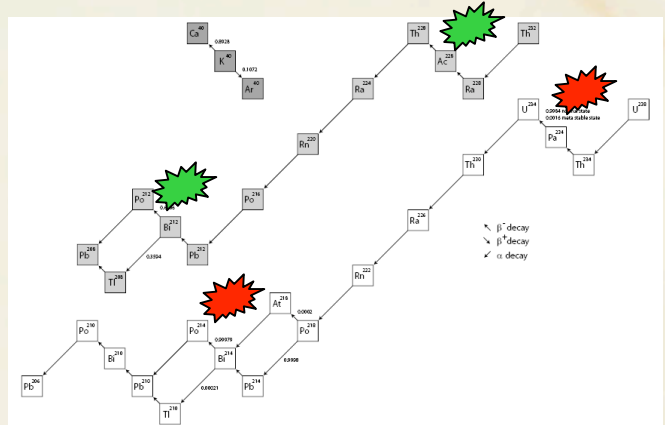
10<sup>-2</sup>

22 September 200

## Threshold for Reines and Cowan coincidence technique

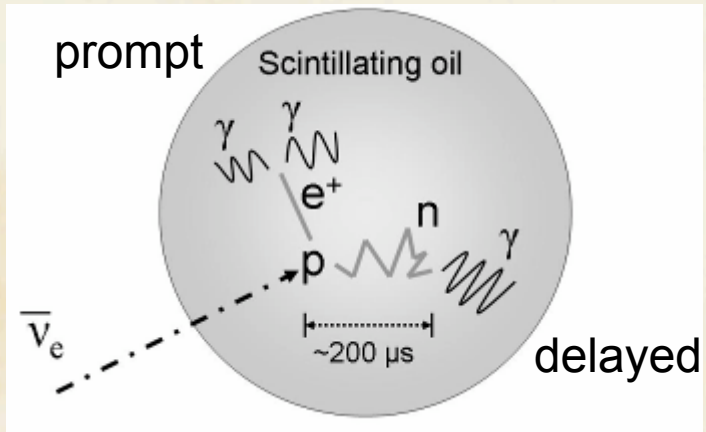


No present method for  $K_{\text{nus}}$ .



## thorium chain

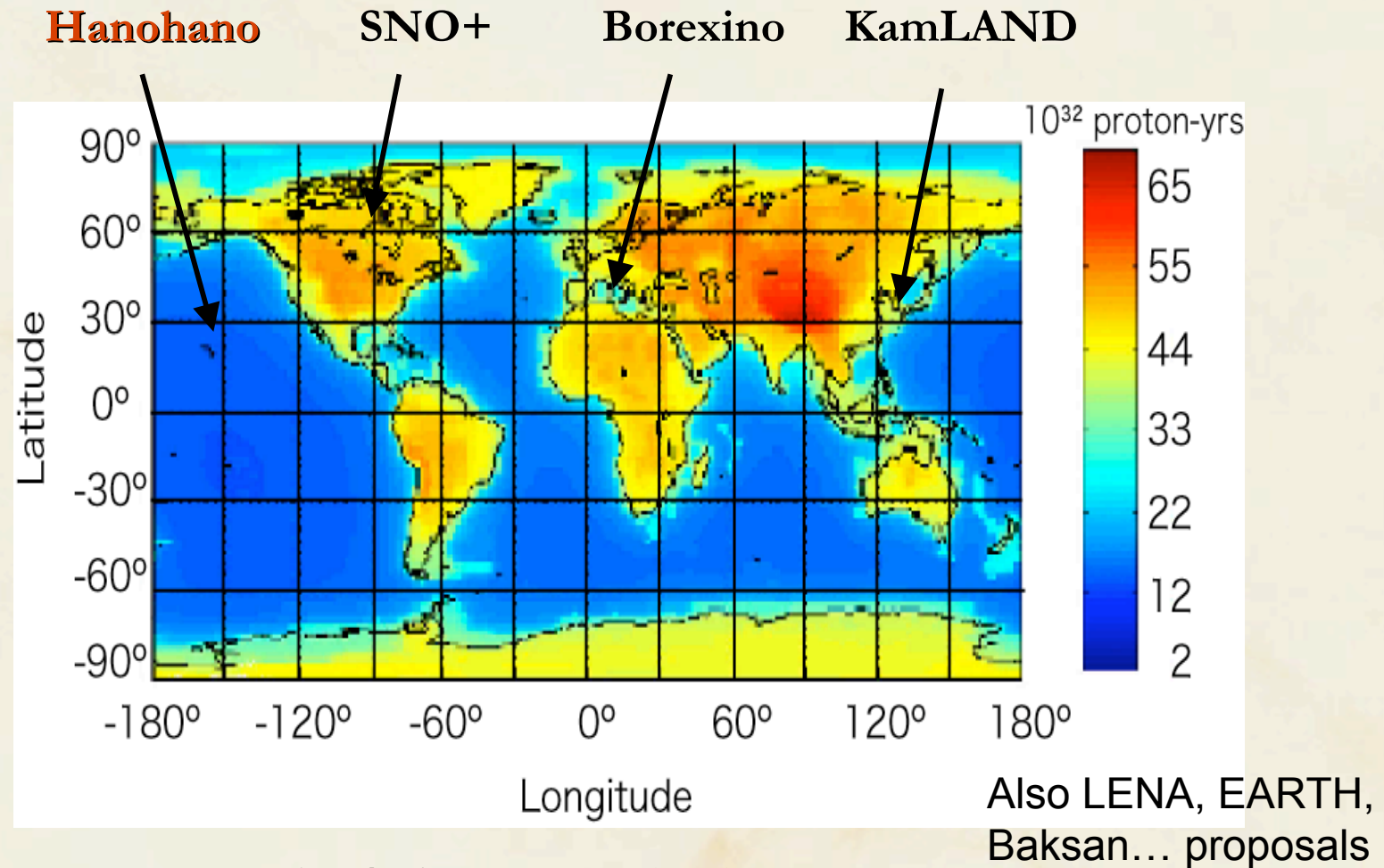
uranium chain





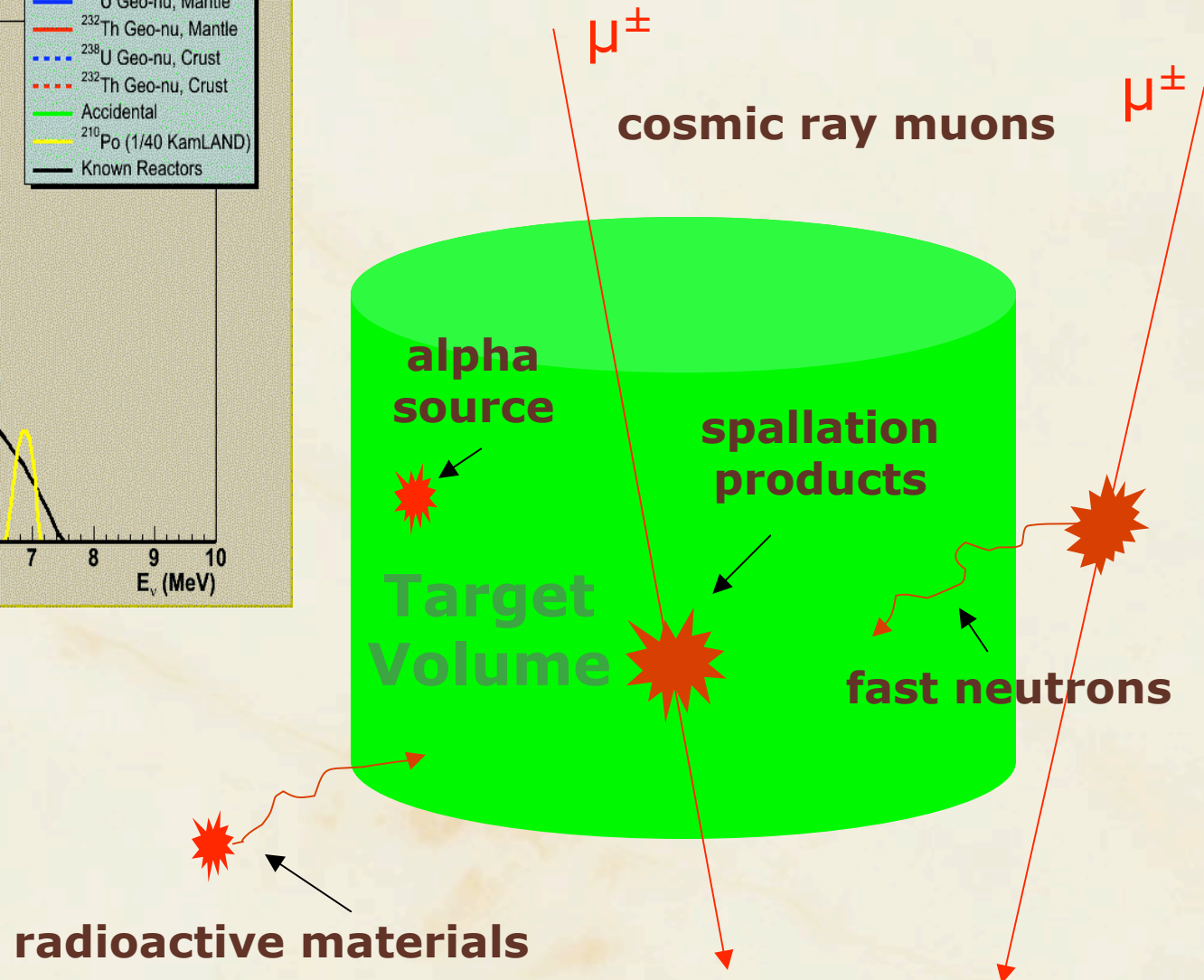
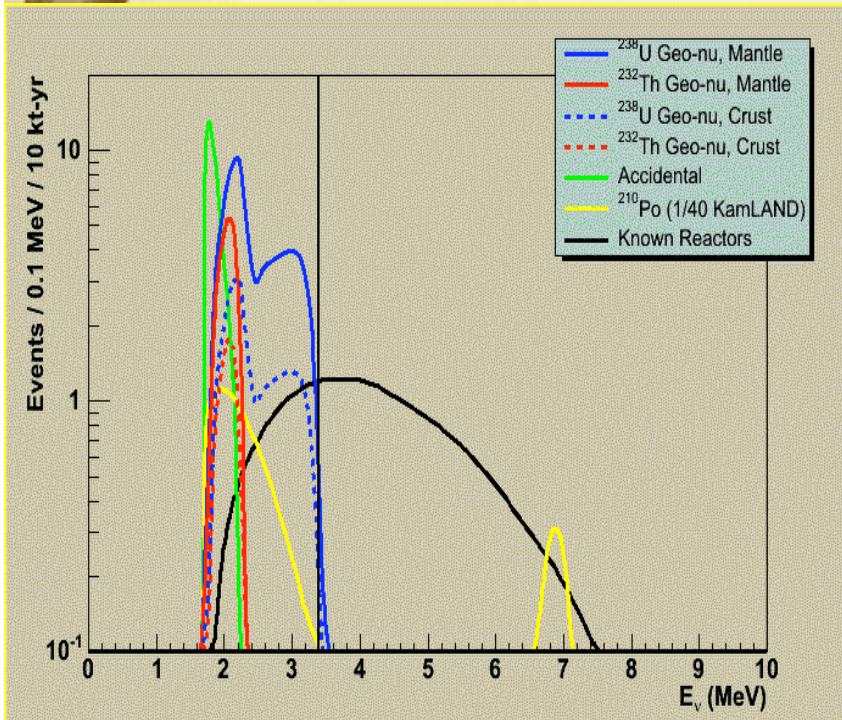
# Predicted Geo-Neutrino Signal

Continental locations dominated by local crustal radioactivity.



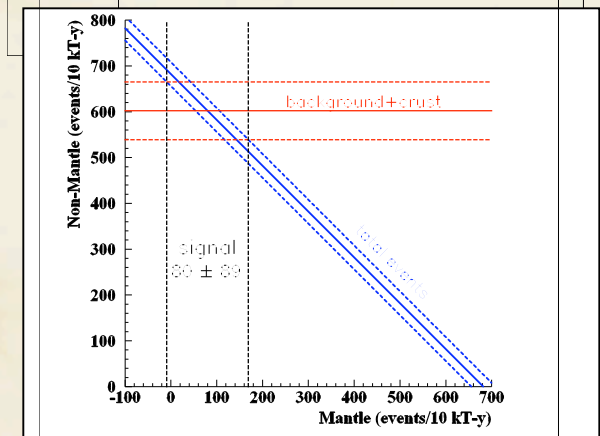
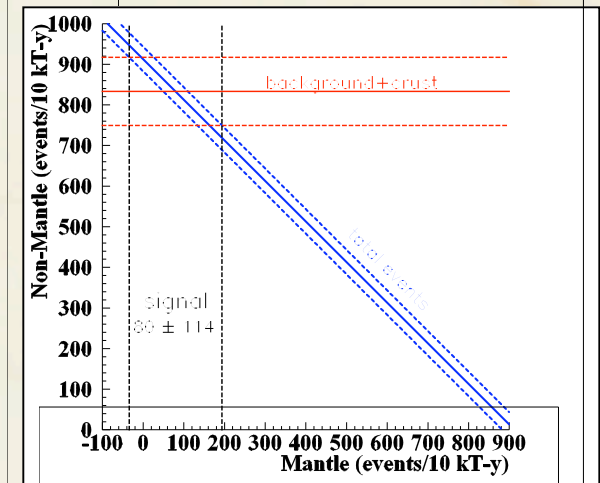
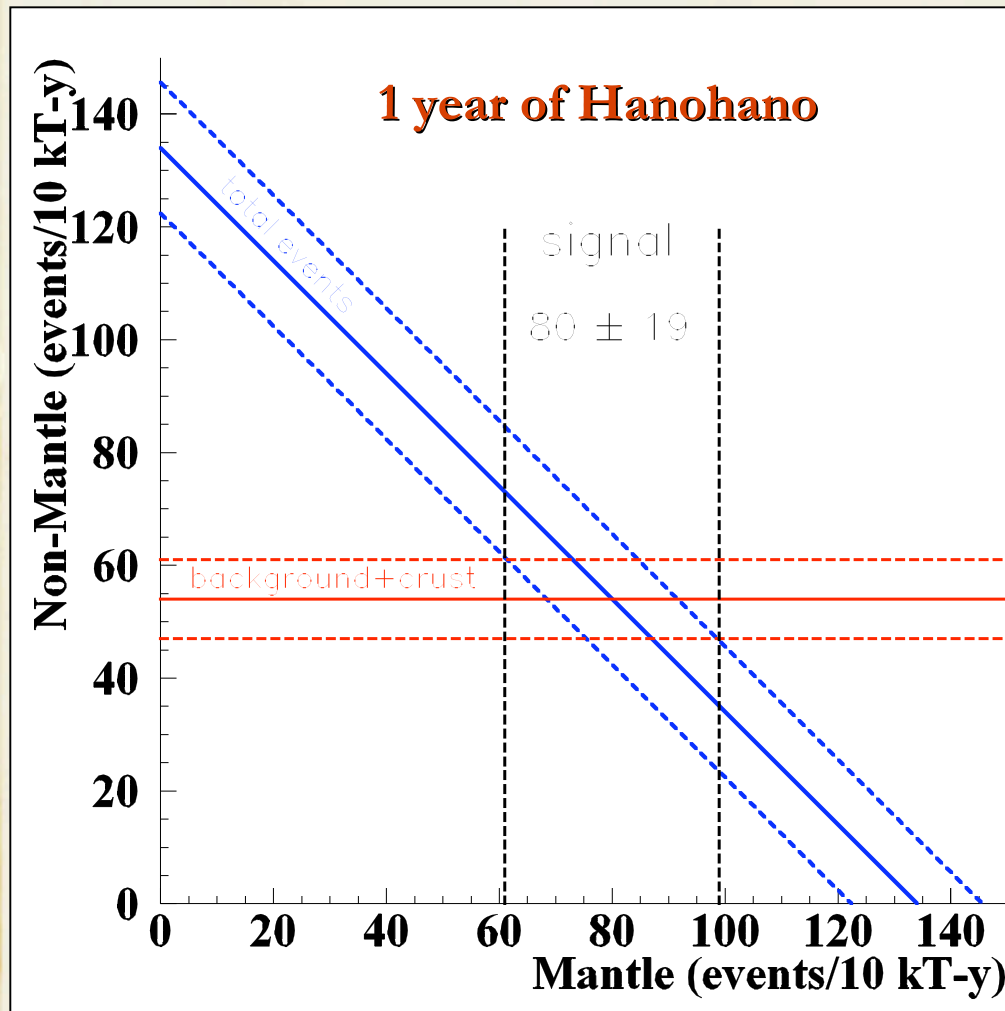
F. Mantovani *et al.*, Phys. Rev. D **69** (2004) 013001.

# Geo-V + Background Spectra



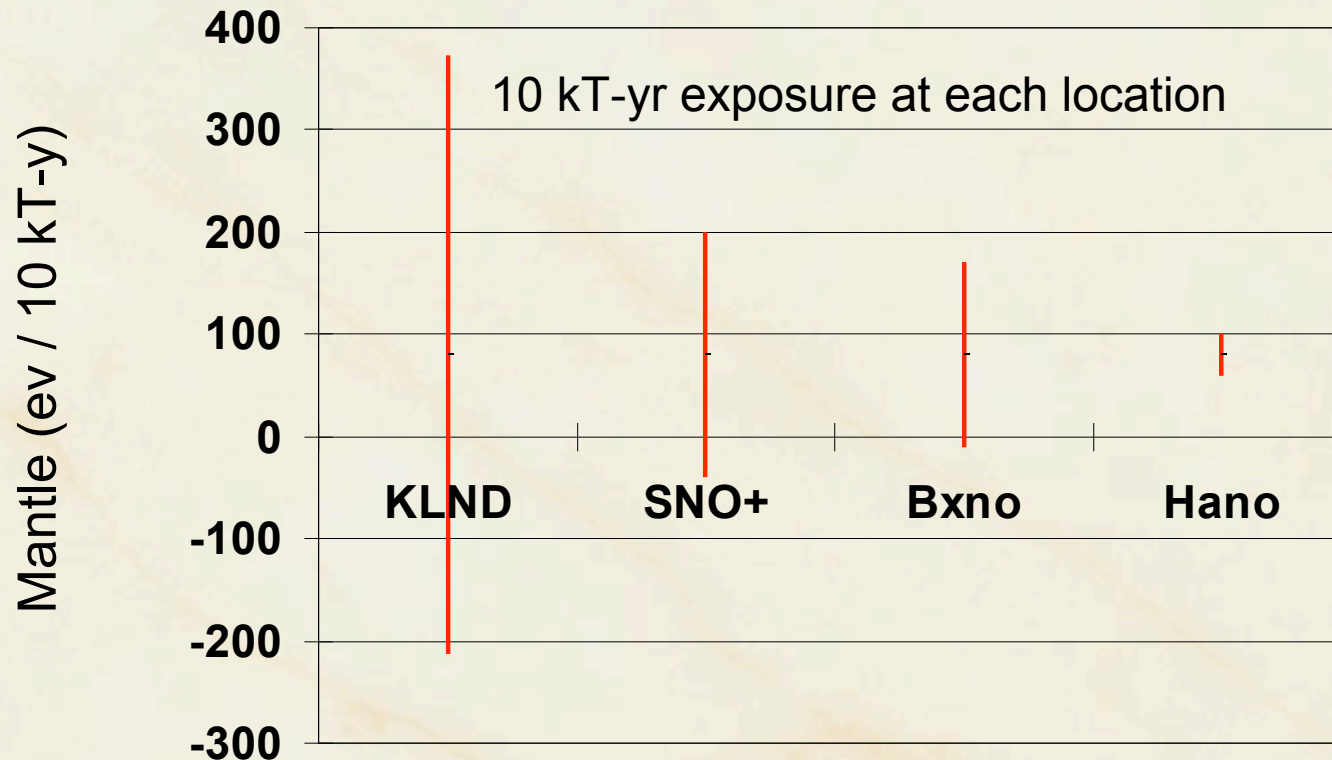
# Hanohano: Mantle/Core Measurement

Must subtract uncertain crust flux to get that due to mantle/core.





# Hanohano: Mantle Measurement



**No continental detector can measure the mantle/core flux to better than 50% due to 20% uncertainty in crust flux**



## Earth Th/U Ratio Measurement

| Project<br><i>crust type</i>   | $\delta R/R$<br>(1 yr exposure) | Th/U<br>(1 yr exposure) | Years to 10%<br>measurement |
|--------------------------------|---------------------------------|-------------------------|-----------------------------|
| KamLAND<br><i>island arc</i>   | 2.0                             | $4 \pm 8$               | 390                         |
| Borexino<br><i>continental</i> | 1.1                             | $4 \pm 4$               | 120                         |
| SNO+<br><i>continental</i>     | 0.62                            | $3.9 \pm 2.4$           | 39                          |
| Hanohano<br><i>oceanic</i>     | 0.20                            | $3.9 \pm 0.8$           | 3.9                         |

**Statistical uncertainties only; includes reactors.**



# Geo-V projects: Predicted Rates

| Project<br><i>crust type</i>   | Size<br>( $10^{32}$ free p) | Geoneutrino<br>(events/y) | Crust<br>(events/y) | Mantle<br>(events/y) | Reactor<br>(events/y) |
|--------------------------------|-----------------------------|---------------------------|---------------------|----------------------|-----------------------|
| KamLAND<br><i>island arc</i>   | 0.35                        | 12.5                      | 9.2                 | 3.3                  | 83.7                  |
| Borexino<br><i>continental</i> | 0.18                        | 7.6                       | 5.9                 | 1.7                  | 6.2                   |
| SNO+<br><i>continental</i>     | 0.57                        | 30.0                      | 24.7                | 5.3                  | 35.1                  |
| Hanohano<br><i>oceanic</i>     | 8.7                         | 112.2                     | 31.3                | 80.9                 | 12.2                  |

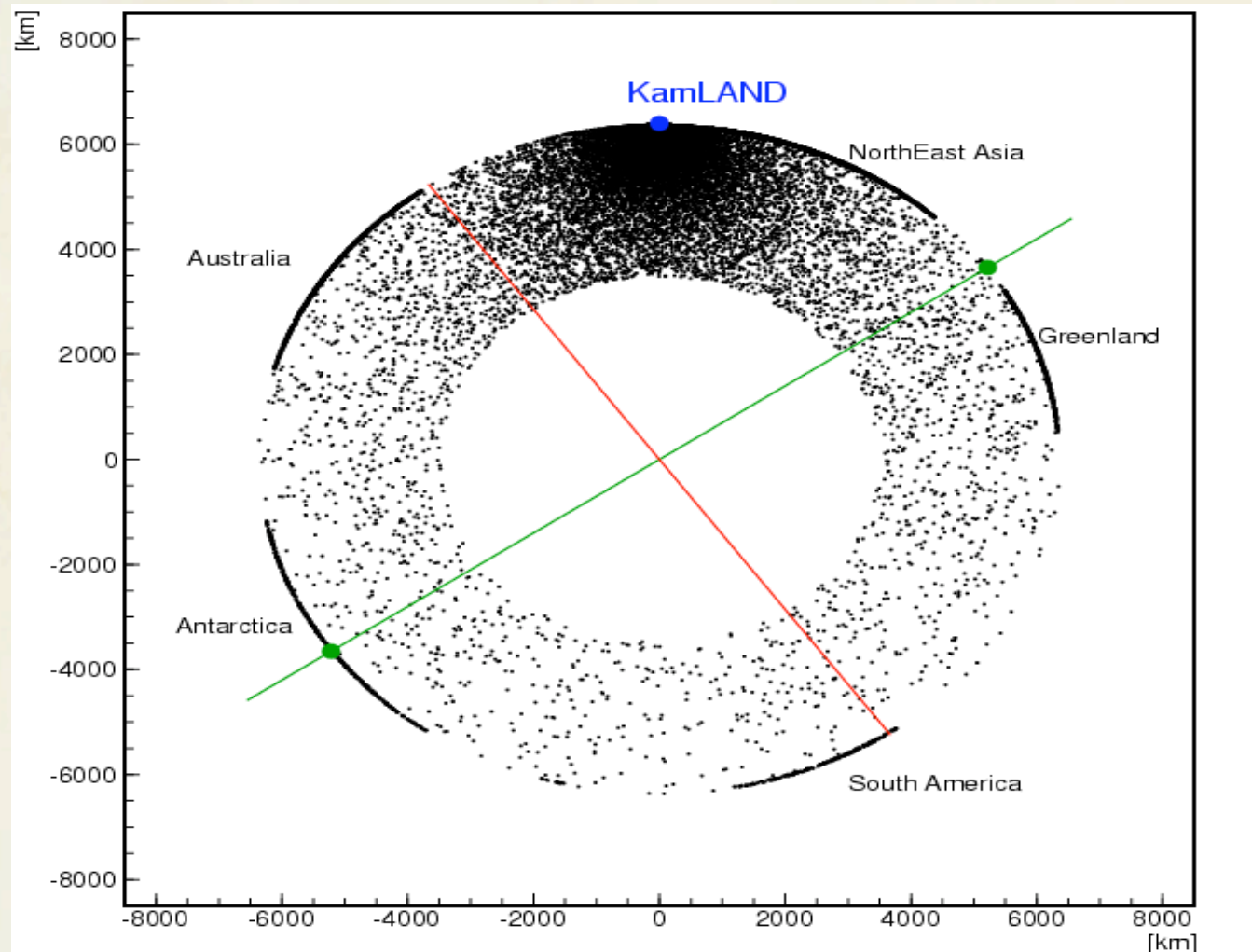
# Measuring the Mantle

|                   | Rate (10 kT-y) <sup>-1</sup> |                 |                |                |
|-------------------|------------------------------|-----------------|----------------|----------------|
| Source            | KamLAND                      | SNO+            | Borexino       | Hanohano       |
| Envir. Bkgd.      | 831 ± 196                    | 18 ± 2          | 19 ± 2         | 12 ± 2         |
| Reactor ν         | 1434 ± 129                   | 438 ± 39        | 298 ± 27       | 12 ± 1         |
| Crust ν           | 229 ± 46                     | 377 ± 75        | 285 ± 57       | 30 ± 6         |
| <b>Non-Mantle</b> | 2494 ± 239                   | 833 ± 83        | 602 ± 63       | 54 ± 7         |
| <b>Mantle</b>     | 80 ± 16                      | 80 ± 16         | 80 ± 16        | 80 ± 16        |
| <b>Total</b>      | 2574 ± 240                   | 913 ± 86        | 682 ± 65       | 134 ± 17       |
| <b>Signal</b>     | <b>80 ± 290</b>              | <b>80 ± 117</b> | <b>80 ± 89</b> | <b>80 ± 19</b> |

Note: while continental locations cannot measure mantle, combined measurements from all yield important geophysics.

# Simulated Geo-Neutrino Source

Weighted heavily towards local region of mantle.



Make observations at several sites to test mantle variation.



# Anti-Neutrinos from the Core?



Herndon hypothesis: natural breeder reactor in core of Earth with  $P=1-10$  TW



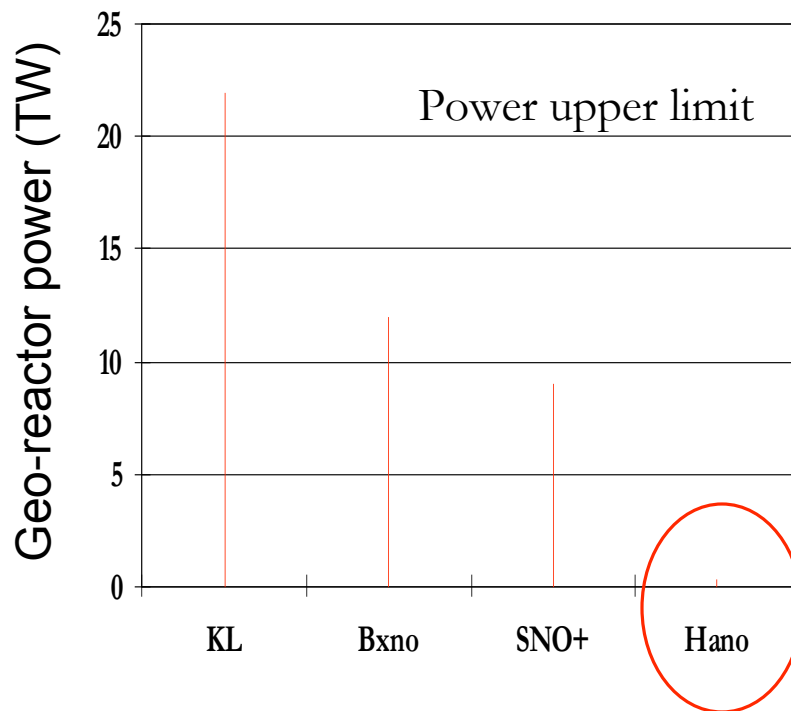
**Geo-reactor hypothesis**

Herndon, *Proc. Nat. Acad. Sci.* **93** (1996) 646.

Hollenbach and Herndon, *Proc. Nat. Acad. Sci.* **98** (2001) 11085.

Controversial but apparently not ruled out, and if true of tremendous importance.

# Geo-Reactor Search



| Project<br><i>crust type</i>   | Power limit<br>99% CL<br>(TW) | 5 $\sigma$ discovery<br>power<br>(TW) |
|--------------------------------|-------------------------------|---------------------------------------|
| KamLAND<br><i>island arc</i>   | 22                            | 51                                    |
| Borexino<br><i>continental</i> | 12                            | 43                                    |
| SNO+<br><i>continental</i>     | 9                             | 22                                    |
| Hanohano<br><i>oceanic</i>     | 0.3                           | 1.0                                   |

1 year run time- statistical uncertainties only

Need 1 – 10 TW to drive geomagnetic field.



# Physics Big Questions: Neutrino Properties

- Non-zero neutrino mass and oscillations between flavors established.
  - Filling in MNS-P mixing matrix needed.
  - Need precise (few %) values.
  - Quest for  $\theta_{13}$ , need various approaches.
  - Hierarchy of masses? ( $m_1 < m_2 < m_3$  ?)
  - CP violation? CPT?
  - Importance to cosmology, grand unification....
- This experiment addresses many of these

# 3- $\nu$ Mixing: Reactor Neutrinos

$$P_{ee} = 1 - \left\{ \begin{aligned} &\cos^4(\theta_{13}) \sin^2(2\theta_{12}) [1 - \cos(\Delta m_{21}^2 L / 2E)] \\ &+ \cos^2(\theta_{12}) \sin^2(2\theta_{13}) [1 - \cos(\Delta m_{31}^2 L / 2E)] \\ &+ \sin^2(\theta_{12}) \sin^2(2\theta_{13}) [1 - \cos(\Delta m_{32}^2 L / 2E)] \end{aligned} \right\} / 2 \quad \left. \vphantom{\begin{aligned} &\cos^4(\theta_{13}) \sin^2(2\theta_{12}) [1 - \cos(\Delta m_{21}^2 L / 2E)] \\ &+ \cos^2(\theta_{12}) \sin^2(2\theta_{13}) [1 - \cos(\Delta m_{31}^2 L / 2E)] \\ &+ \sin^2(\theta_{12}) \sin^2(2\theta_{13}) [1 - \cos(\Delta m_{32}^2 L / 2E)] \end{aligned}} \right\} \begin{array}{l} \text{wavelength} \\ \text{close, 3\%} \end{array}$$

- Each of 3 amplitudes cycles (in  $L/E \sim "t"$ )  
with own periodicity ( $\Delta m^2 \sim "w"$ )
- amplitudes  $13.5 : 2.5 : 1.0$  above
  - wavelengths  $\sim 110$  km and  $\sim 4$  km at reactor peak  $\sim 3.5$  MeV
- $1/2$ -cycle measurements can yield
    - Mixing angles, mass-squared differences
  - Multi-cycle measurements can yield
    - Mixing angles, precise mass-squared differences
    - Potential for mass hierarchy
    - Less sensitivity to systematics



# Reactor & Atmospheric $\nu$

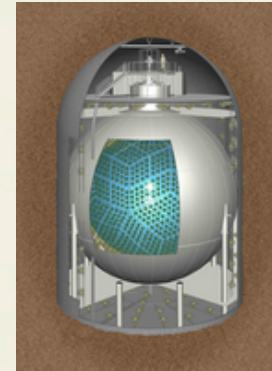
## Mixing Parameters: Present Knowledge

- KamLAND combined analysis

$$\tan^2(\theta_{12}) = 0.40(+0.10/-0.07)$$

$$\Delta m^2_{21} = (7.9 \pm 0.7) \times 10^{-5} \text{ eV}^2$$

Araki et al., *Phys. Rev. Lett.* **94** (2005) 081801.



- CHOOZ limit  $\sin^2(2\theta_{13}) \leq 0.20$

Apollonio et al., *Eur. Phys. J.* **C27** (2003) 331-374.

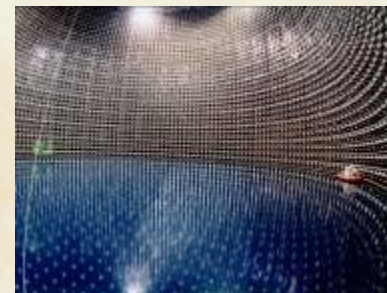


- SuperK (and K2K)

$$\Delta m^2_{31} = (2.5 \pm 0.5) \times 10^{-3} \text{ eV}^2$$

Ashie et al., *Phys. Rev.* **D64** (2005) 112005

Aliu et al., *Phys. Rev. Lett.* **94** (2005) 081802



# Significant $\nu_e$ Flux Measurement Uncertainty Due to Oscillations

- Flux from distant, extended source like Earth or sun is fully mixed

- $P(\nu_e \rightarrow \nu_e) = 1 - 0.5 \{ \cos^4(\theta_{13}) \sin^2(2\theta_{12}) + \sin^2(2\theta_{13}) \}$   
 $= 0.592 (+0.035/-0.091)$

Lower value for maximum angles

Upper value for minimum angles

- $\Phi_{\text{source}} = \Phi_{\text{detector}} / P(\nu_e \rightarrow \nu_e)$   
 Uncertainty is  $+15\%/-6\%$

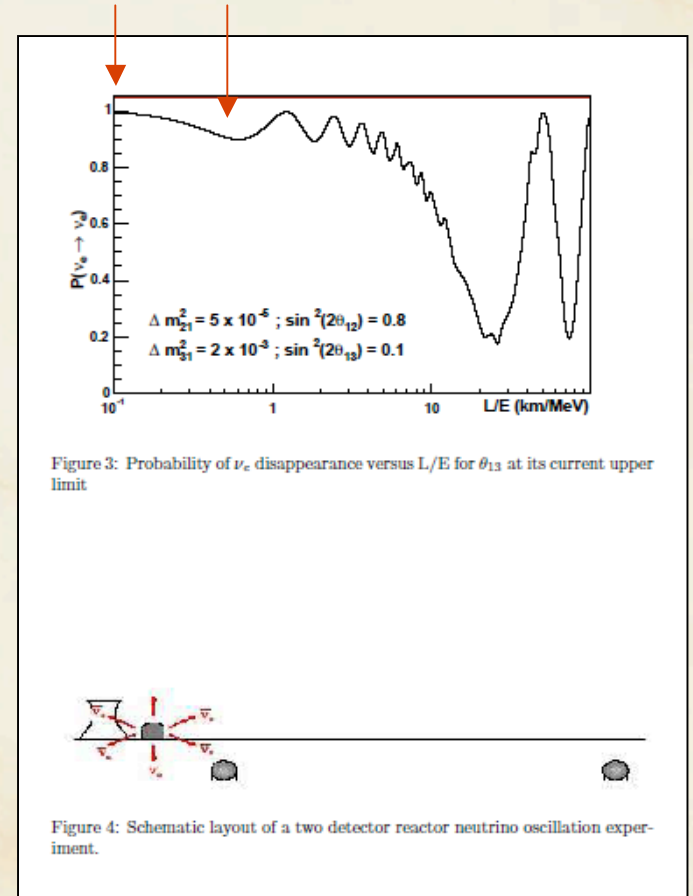
$\Rightarrow$  precise flux measures need  $\theta_{12}$  &  $\theta_{13}$

# Proposed $1/2$ -cycle $\theta_{13}$ Measurements

- Reactor experiment-  $\nu_e$  point source
- Double Chooz, Daya Bay, Reno
- $\theta_{13}$  with “identical” detectors near (100m)/far(1-2 km)
- $P(\nu_e \rightarrow \nu_e) \approx 1 - \sin^2(2\theta_{13})\sin^2(\Delta m_{31}^2 L/4E)$
- $\sin^2(2\theta_{13}) \leq 0.03$ -0.01 in few years
- Solar angle & matter insensitive
- Systematics difficult

*Anderson, et al., hep-ex/0402041*

*Idea: L. Mikaelyan, V. Sinev, Phys. At. Nucl. 62 (1999) 2008, hep-ph/9811228.*







## Suggested $1/2$ -cycle $\theta_{12}$ Measurement

- Reactor experiment:  $\nu_e$  point source at modest distance (10-100 km).
- $P(\nu_e \rightarrow \nu_e) \approx 1 - \sin^2(2\theta_{12}) \sin^2(\Delta m_{21}^2 L / 4E)$
- 60 GW  $\cdot$  kT  $\cdot$  y exposure at 50-70 km  $\rightarrow$ 
  - $\sim 4\%$  systematic error from near detector
  - $\sin^2(\theta_{12})$  measured with  $\sim 2\%$  uncertainty
- *We can do job without near monitor (?)*

Bandyopadhyay et al., *Phys. Rev. D***67** (2003) 113011.

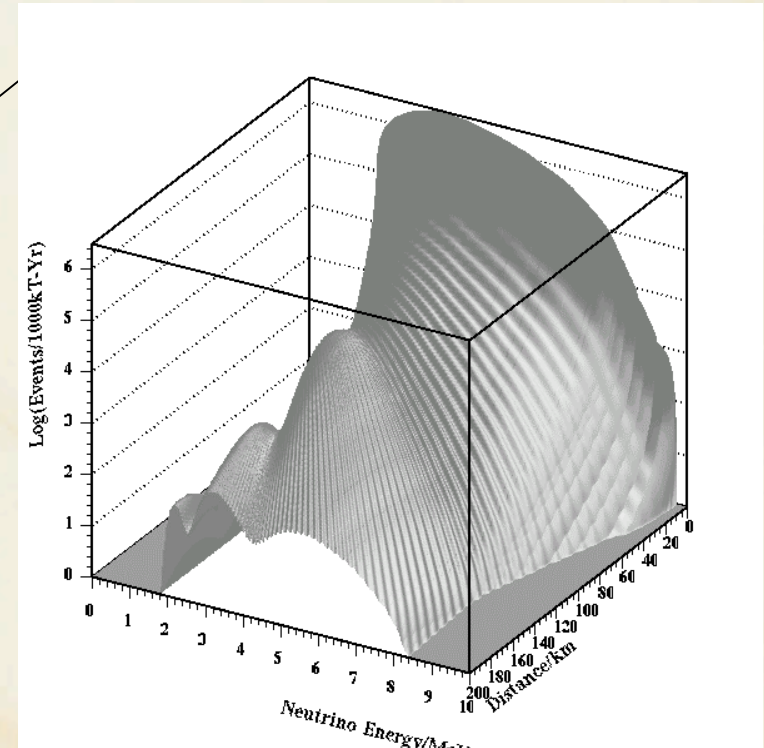
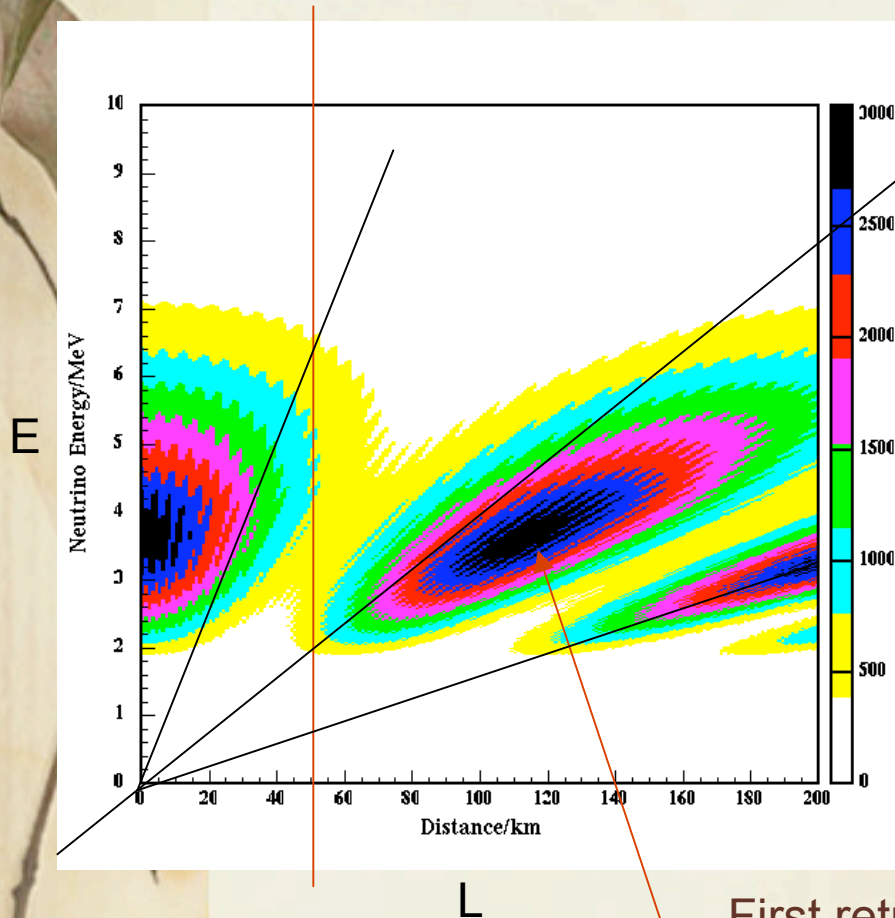
Minakata et al., hep-ph/0407326

Bandyopadhyay et al., hep-ph/0410283

# Energy Spectra, Distance and Oscillations

50 km study

Constant L/E

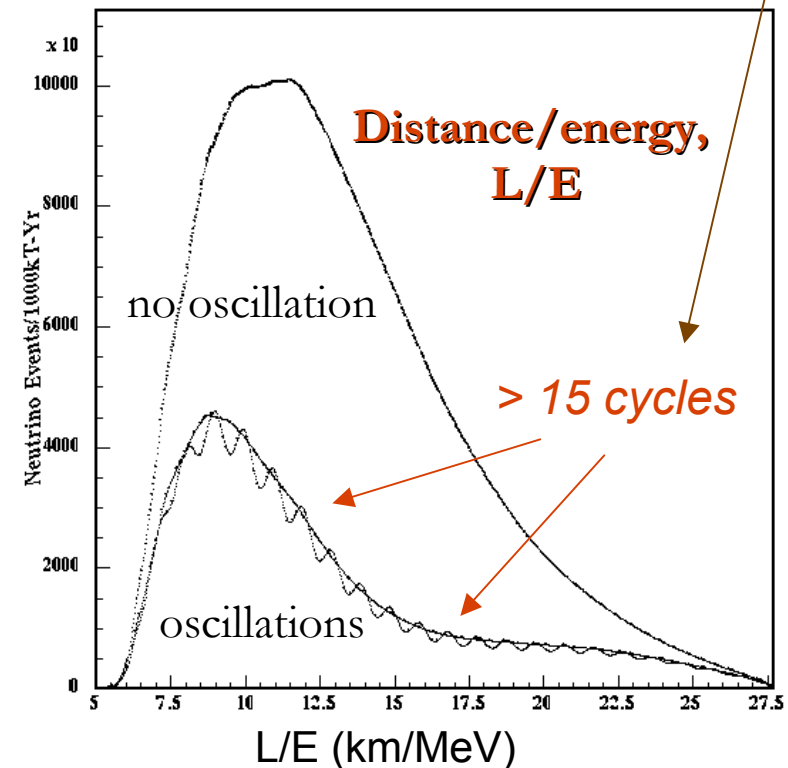
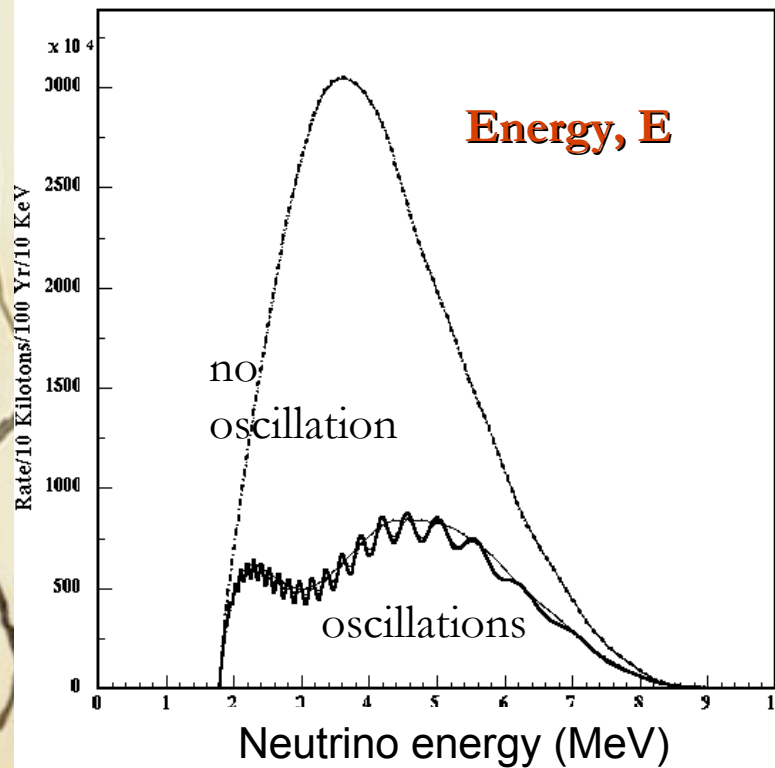


Log(Rate) vs Energy and Distance

First return of  
"solar" oscillation

# Reactor Anti-Neutrino Spectra at 50 km

suggests using Fourier Transforms



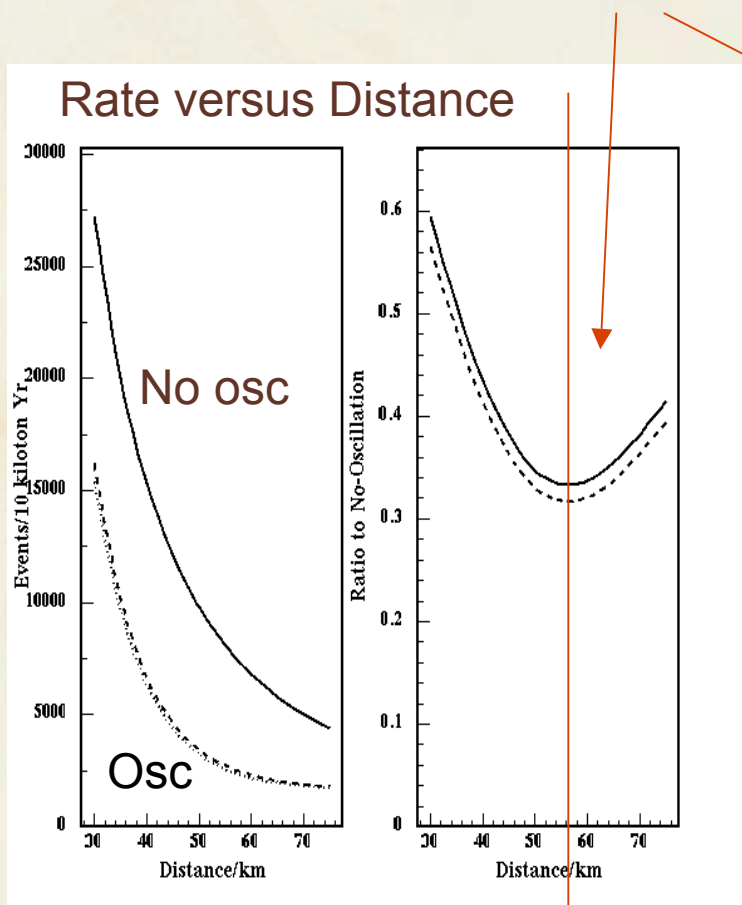
1,2 oscillations with  $\sin^2(2\theta_{12})=0.82$  and  $\Delta m^2_{21}=7.9 \times 10^{-5} \text{ eV}^2$

1,3 oscillations with  $\sin^2(2\theta_{13})=0.10$  and  $\Delta m^2_{31}=2.5 \times 10^{-3} \text{ eV}^2$

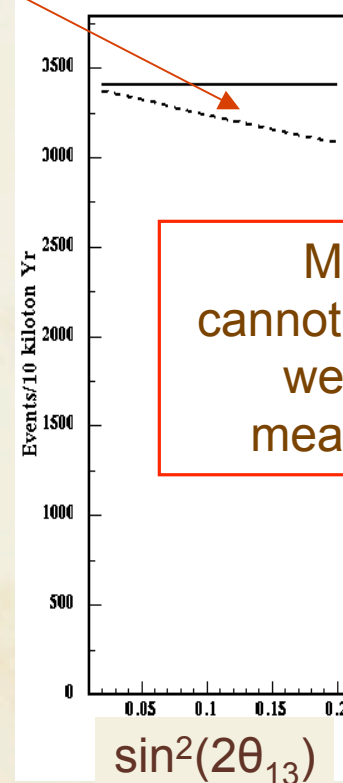


# Rate versus Distance and $\theta_{13}$

*Note shift in total rate due to  $\theta_{13}$*



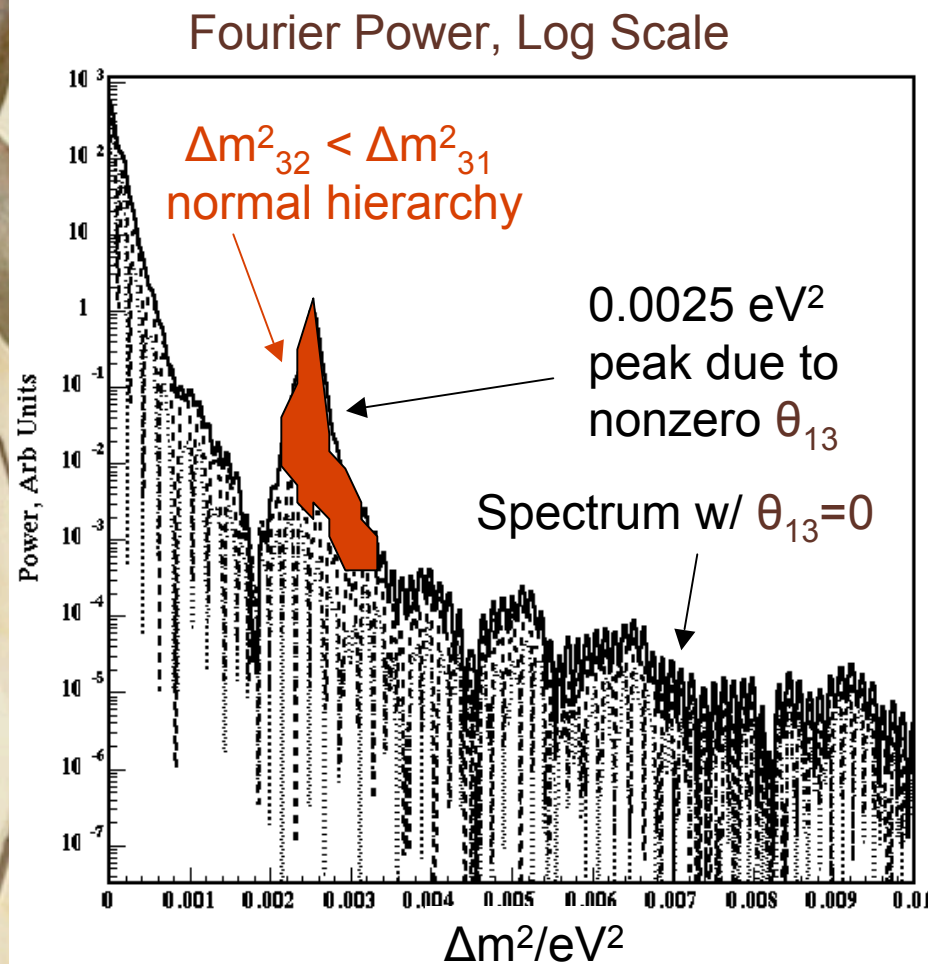
Rate Variation with  $\theta_{13}$



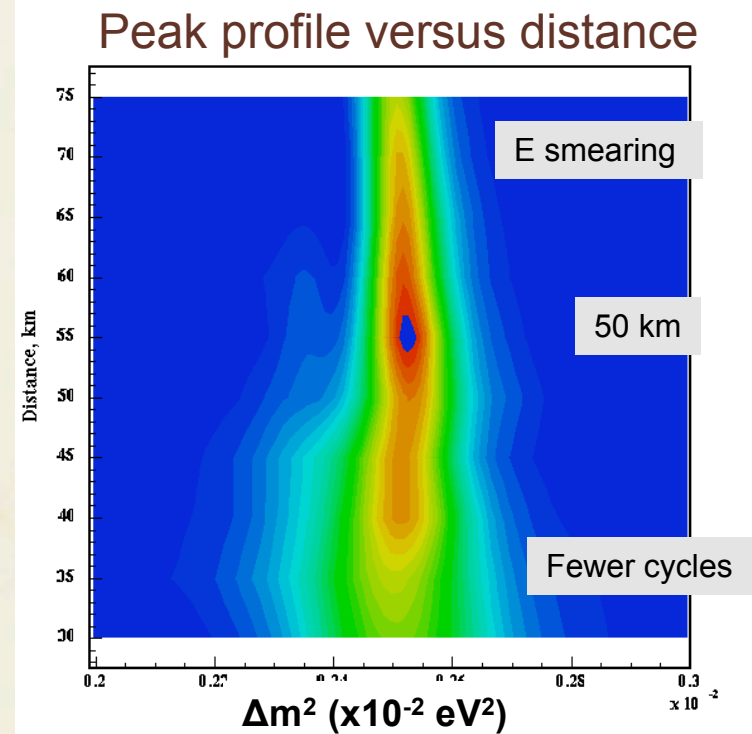
Message:  
cannot measure  $\theta_{12}$   
well without  
measuring  $\theta_{13}$ .

Max suppression  
near 57 km

# Fourier Transform on L/E to $\Delta m^2$



Includes energy smearing



*Preliminary-*

50 kt-y exposure at 50 km range

$$\sin^2(2\theta_{13}) \geq 0.02$$

$$\Delta m^2_{31} = 0.0025 \text{ eV}^2 \text{ to } 1\% \text{ level}$$

Learned, Pakvasa, Svoboda, Dye *preprint in preparation*



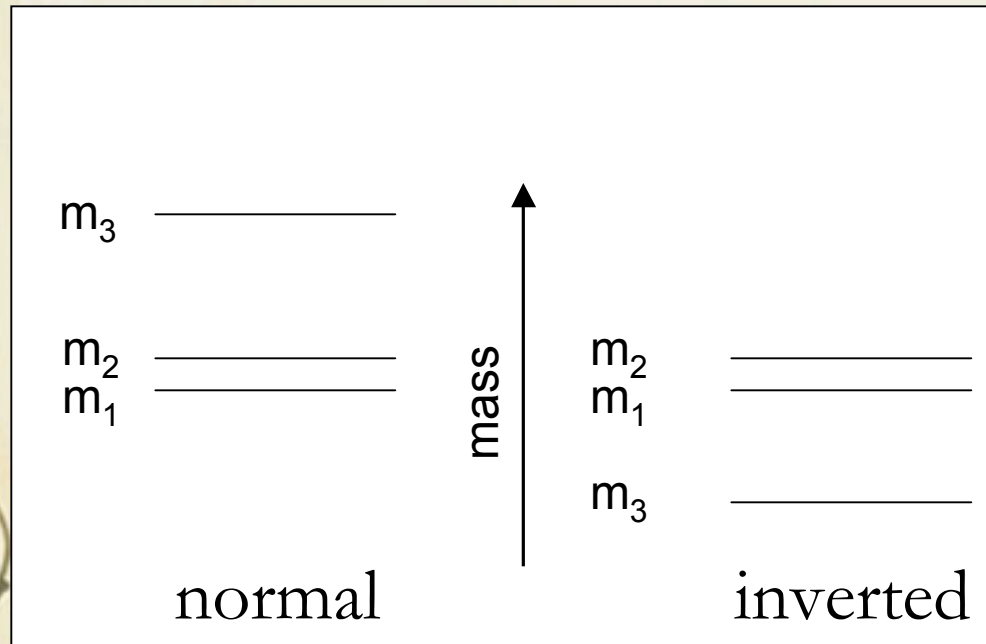
# Beauty of Employing Fourier

(new realization, by us anyway)

- Normal statistical  $\sqrt{n}$  Poisson errors apply to peak amplitude (mixing angle),
- but NOT to peak location... allows possibility for very precise measurement of  $\Delta m^2$  ( $< 1\%$ ?)
- Beats  $\chi^2$  and normal  $\text{Max}_{\mathcal{L}}$ , I think. (?)
- Employ signal processing tricks to maximize information extraction (ie. matched filter).

# Neutrino Mass Hierarchy w/ Reactor Neutrinos ?

-needs work



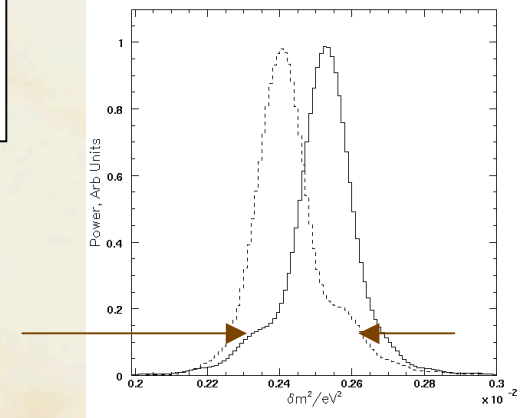
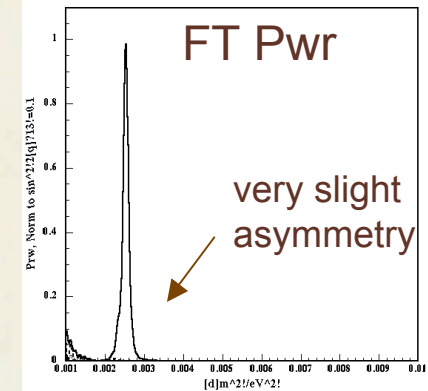
$$|\Delta m_{31}^2| > |\Delta m_{32}^2|$$

$$|\Delta m_{31}^2| < |\Delta m_{32}^2|$$

$$\Delta m_{32}^2 \approx (1 \pm 0.03) \Delta m_{31}^2$$

*Petcov and Piai, Phys. Lett. B533 (2001) 94-106.*

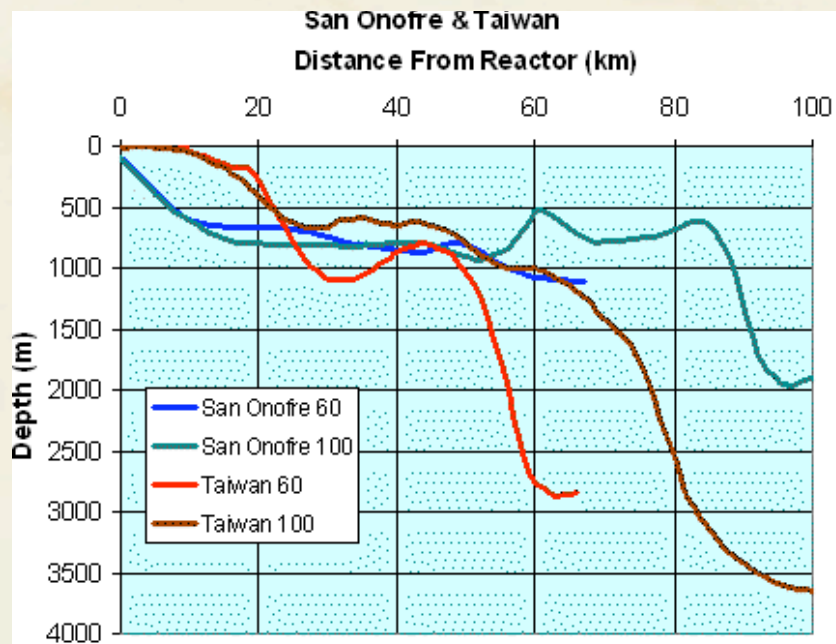
**Peak has low-side small shoulder,  
inv hierarchy shoulder on high-side.**



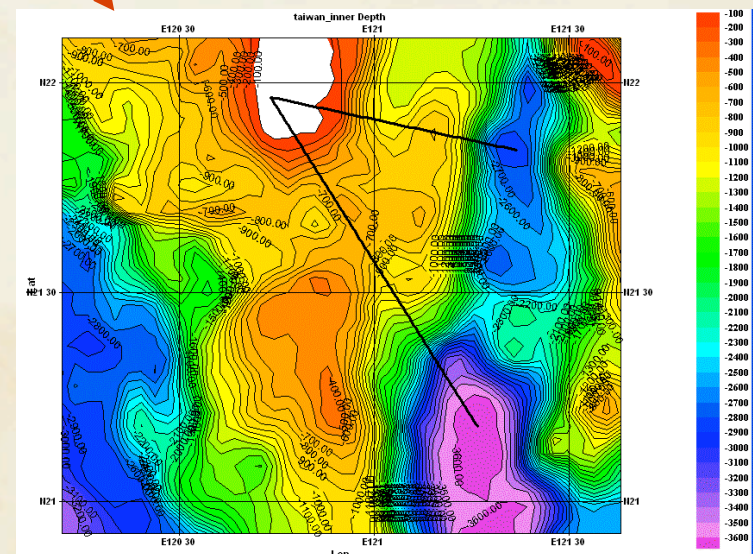
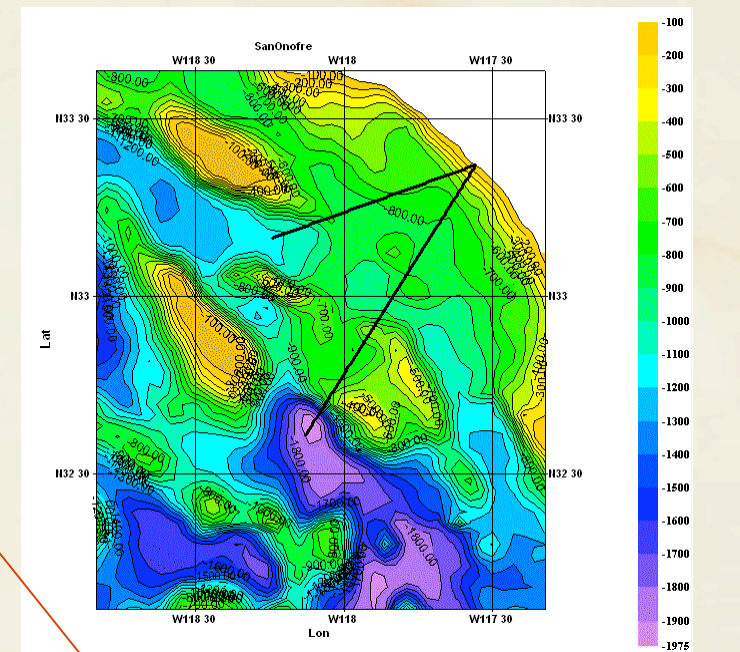


# Hanohano - Candidate Reactor Sites

SW of San Onofre, **Calif**- ~6 GW<sub>th</sub>  
SE of Maanshan, **Taiwan**- ~5 GW<sub>th</sub>



Depth profiles





# Hanohano Science Summary

## 1 Yr, 10 Kiloton Exposures

- **Neutrino Geophysics, deep mid-ocean**
  - Mantle flux U/Th geo-neutrinos to  $\sim 25\%$
  - Measure Th/U ratio to  $\sim 20\%$
  - Rule out geo-reactor of  $P > 0.3$  TW
- **Neutrino Particle Physics, 50 km from reactor**
  - Measure  $\sin^2(\theta_{12})$  to few % w/ standard  $1/2$ -cycle
  - Measure  $\sin^2(2\theta_{13})$  down to  $\sim 0.05$  w/ multi-cycle
  - $\Delta m^2_{31}$  at percent level w/ multi-cycle
  - No near detector; insensitive to background, systematics; complimentary to DC, DB, Minos, Nova
  - Potential for mass hierarchy with large exposure



# Tests & Studies Needed

- Complete module anti-implosion work/tests.
- Demonstrate optical modules and scintillator in deep ocean.
- More scintillator studies, radiopurity, optimize choice.
- Further detector barge design and full costing.
- More detailed geological simulation, error analysis and study choice of deep ocean sites.
- Reactor distance and depth, including backgrounds.
- Can we do neutrino mass hierarchy with FT method?
- Neutrino direction studies.
- + Other physics: SN, relic SN, nucleon decay, ... *(recall that this will be the largest low energy detector, 20x KamLAND, 10x SNO+, 50x Borexino, but 0.2x LENA?)*.





# Conclusion

- First step in development of long range neutrino monitoring applications
- Hanohano
  - 10 kT deep ocean anti-neutrino observatory
  - Movable for multi-disciplinary science
    - Neutrino geophysics
    - Neutrino oscillation physics and more
  - Under development at Hawaii
  - 1<sup>st</sup> collaboration meeting 3/07 in Hawaii

*interested? [jgl@phys.hawaii.edu](mailto:jgl@phys.hawaii.edu)*

Acknowledgements: Steve Dye, Peter Grach, Shige Matsuno, Sandip Pakvasa, Joe Van Ryzin, Bob Svoboda, Gary Varner, Mavourneen Wilcox, Makai Ocean Engineering, CEROS, DOE, UHM



# backups



22 September 2006

John Learned at NNN06 Seattle

43



# Nucleon Decay with Hanohano

- PDK and SN data with geophysics studies...
- Nucleon Decay: kaon modes:
  - present:  $\tau/b > 2.3 \times 10^{33} \text{ y}$  [*Super-K*, PR D 72, 052007 (2005)].
  - Hanohano:  $\tau/b > 10^{34} \text{ y}$  with 10 yr [*Lena* PR D 72, 075014 (2005)]
- Neutron Disappearance:
  - present:  $\tau(n \rightarrow \text{invis}) > 5.8 \times 10^{29} \text{ y}$  at 90% CL  
 $\tau(nn \rightarrow \text{invis}) > 1.4 \times 10^{30} \text{ y}$  at 90% CL  
*[838 & 1119 metric ton-years of KamLAND, PRL 96 (2006) 101802]*
  - Hanohano:  $\tau(n \rightarrow \text{invis}) > 5 \times 10^{31} \text{ y}$  at 90% CL 10 yrs  
 $\tau(nn \rightarrow \text{invis}) > 5 \times 10^{31} \text{ y}$  at 90% CL

*Simulations needed*